

#### Outline

- Mathematical concept of a sequence, AP and GP
- Sequence collections
- Lists, tuples, and strings

Enumeration Relations & Functions

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## Sequence

Informally, a sequence is just an ordered list of numbers. Since the order is important we can label the values in the list, starting with zero, then one and so on. This gives us the formal definition of a sequence

### Definition 1 (Sequence)

A sequence is a function from the set of natural numbers,  $\mathbb{N} = \{0, 1, 2, 3, 4, ...\}$  to a some set A. So we have



and

- $a_n$  is the image of n, and is called the  $n^{th}$  term/element of the sequence.
- To refer to the *entire* sequence at once, we will write  $(a_n)_{n \in \mathbb{N}}$  or  $(a_n)_{n \geq 0}$ , or if we are being sloppy, just  $(a_n)$  (in which case we assume we start the sequence with  $a_0$ ).
- The numbers in the subscripts are called indices (the plural of index).

### **Examples of Sequences**

• The sequence  $a_n = n^2$ , where n = 1, 2, 3, ... has elements 1, 4, 9, 16, 25, 36, 49, ...

- The sequence  $a_n = (-1)^n$ , where n = 0, 1, 2, ... has elements 1, -1, 1, -1, 1, -1, ...
- The sequence  $a_n = 2^n$ , where n = 0, 1, 2, ... has elements 1, 2, 4, 8, 16, 32, 64, 128, ...
- The Fibonacci sequence has elements

 $0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, \dots$ 

## A Quick Look at Fibonacci Sequence

During 13<sup>th</sup> century, in Liber Abaci, Fibonacci\* poses the following question (paraphrasing):

Suppose we have two newly-born rabbits, one female and one male. Suppose these rabbits produce another pair of female and male rabbits after one month. These newly-born rabbits will, in turn, also mate after one month, producing another pair, and so on. Rabbits never die. How many pairs of rabbits exist after one year?

The figure to the right illustrates this process.

- Every point denotes one rabbit pair.
- A grey point denotes a newborn pair (and not ready to reproduce).
- A red point denotes a mature, reproducing pair.

Fibonacci's Rabbits  $a_1 = 1$  $a_2 = 1$  $a_3 = 2$  $a_4 = 3$  $a_5 = 5$  $a_6 = 8$ 

<sup>\*</sup>discovered earlier by Indian scholars (Gopãla, before 1135), studying rhythmic patterns

## Closed vs Recursive Formula for Sequences

We often need to specify a rule for the general term in the sequence — we have two options:

### Definition 2 (Closed Formula and Recursive Definition)

- A closed formula for a sequence  $a_n$  is a formula for  $a_n$  using a fixed, finite number of operations on n.).
- A recursive definition for a sequence  $(a_n)$  consists of a recurrence relation: an equation relating the current term in the sequence,  $(a_n)$ , to earlier terms in the sequence,  $(a_{n-1})$ ,  $(a_{n-2}), \ldots$  (i.e., terms with smaller index) and initial/terminal condition(s).

### Example `

The Fibonacci sequence  $(a_n) = (0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, ...)$  has closed formula

$$a_n = \frac{\left(\frac{1+\sqrt{5}}{2}\right)^n - \left(\frac{1-\sqrt{5}}{2}\right)^n}{\sqrt{5}}$$

Hard to obtain, easy to use

and recursive formula

$$\underline{a_n = a_{n-1} + a_{n-2}}$$
 and  $\underline{a_0 = 0, \quad a_1 = 1}$  terminal conditions

Easy to obtain, hard to use

# Computing Fibonacci Sequence using Closed Formula

Compute the first 7 terms of the Fibonacci sequence using the closed formula

$$a_n = \frac{\left(\frac{1+\sqrt{5}}{2}\right)^n - \left(\frac{1-\sqrt{5}}{2}\right)^n}{\sqrt{5}}$$

```
import math

for n in range(7):

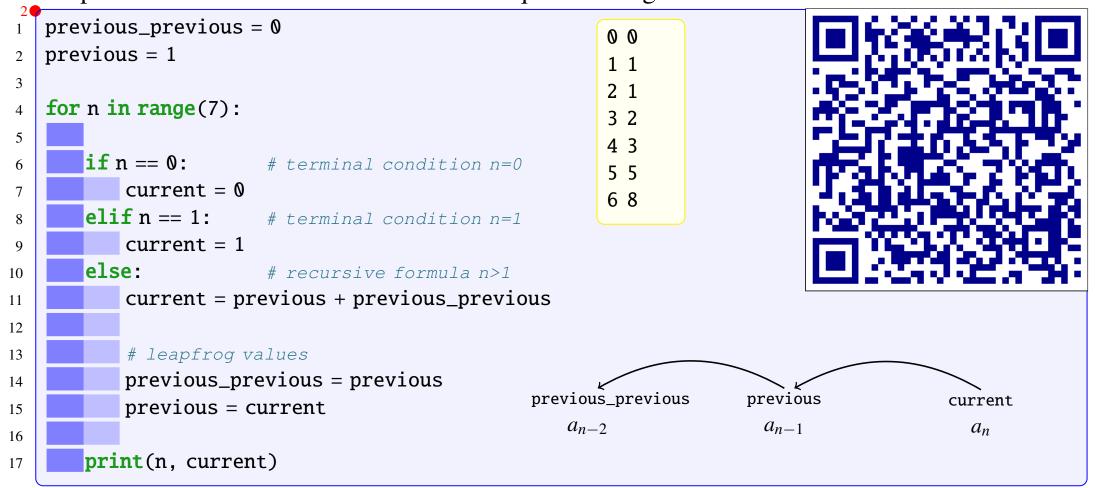
tmp_1 = (1 + math.sqrt(5)) / 2
tmp_2 = (1 - math.sqrt(5)) / 2

a_n = (tmp_1**n - tmp_2**n) / math.sqrt(5)

print(n, round(a_n))
```

# Computing Fibonacci Sequence using Recursive Formula

Compute the first 7 terms of the Fibonacci sequence using the recursive formula



# Example

### Example 3

Find  $a_6$  in the sequence defined by  $a_n = 2a_{n-1} - a_{n-2}$  with  $a_0 = 3$  and  $a_1 = 4$ .

**Solution.** Using n = 6, we know that  $a_6 = 2a_5 - a_4$ . So to find  $a_6$  we need to find  $a_5$  and  $a_4$ . And we repeat this process down to  $a_0$  and  $a_1$ . We will use the approach when we define functions.

But for now, we will determine  $a_6$  by starting at  $a_0$  and  $a_1$ , and working upwards towards  $a_6$ .

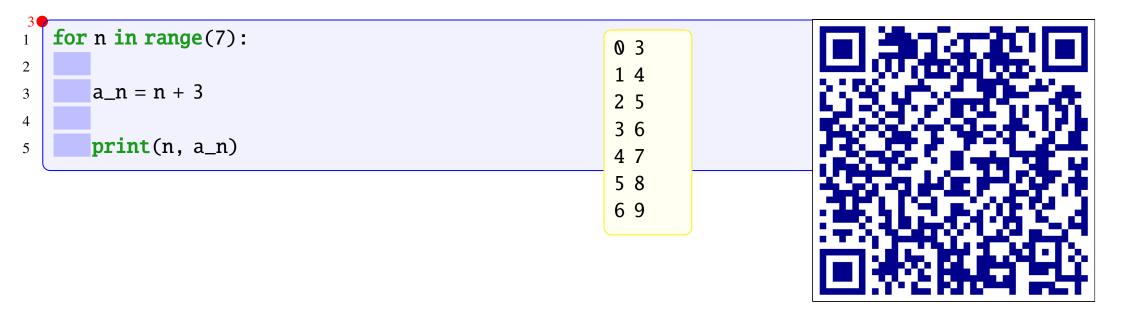
$a_0 = 3$	(given terminal condition)
$a_1 = 4$	(given terminal condition)
$a_2 = 2 \cdot 4 - 3 = 5$	(use $n = 2$ in recursive formula)
$a_3 = 2 \cdot 5 - 4 = 6$	(use $n = 3$ in recursive formula)
$a_4 = 2 \cdot 6 - 5 = 7$	(use $n = 4$ in recursive formula)
$a_5 = 2 \cdot 7 - 6 = 8$	(use $n = 5$ in recursive formula)
$a_6 = 2 \cdot 8 - 7 = 9$	(use $n = 6$ in recursive formula)

Note that in this case a closed formula for  $a_n$  exists. Namely,  $a_n = n + 3$ . A closed formula is easier to use to calculate a general term, but it is often much harder, if not impossible, to derive.

# Computing Sequence using Closed Formula

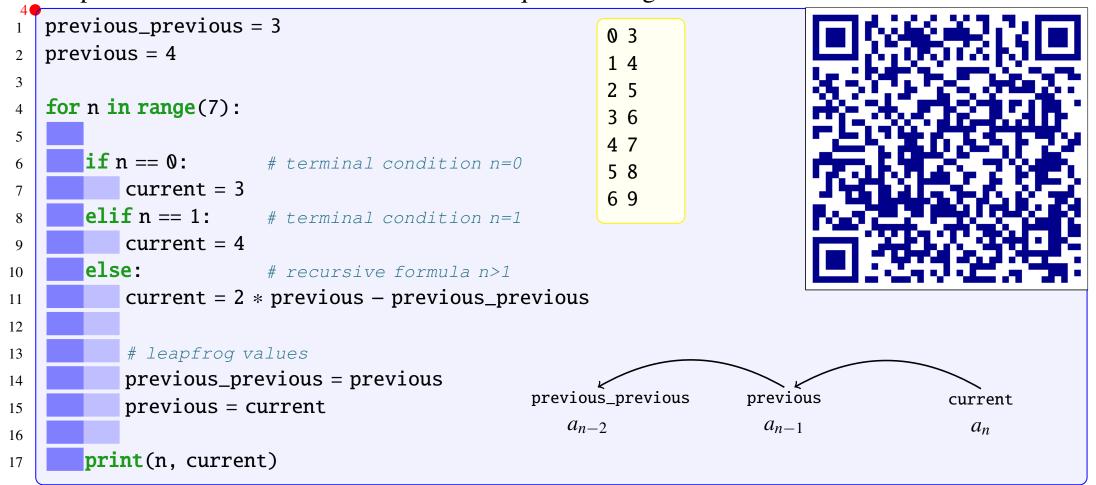
First 7 terms of the sequence using the closed formula

$$a_n = n + 3$$



## Computing Sequence using Recursive Formula

Compute the first 7 terms of the Fibonacci sequence using the recursive formula



### **Summation Notation**

- The  $\sum$  operator is used to denote the addition of elements from a sequence/list.
- It can be implemented using a **for** loop in Python/Java/Processing.

### Example 4

$$\sum_{k=1}^{10} \left[ k^2 \right] = \underbrace{1^2}_{k=1} + \underbrace{2^2}_{k=2} + \underbrace{3^2}_{k=3} + \underbrace{4^2}_{k=4} + \dots + \underbrace{10^2}_{k=10}$$

"Determine the value of expression within the brackets as  $k = 1, 2, 3, \dots, 10$  and add all the results."

$$= 1 + 4 + 9 + 16 + 25 + 36 + \cdots + 100 = 385$$

```
result = 0
                        # start result with zero - why?
for k in range(1,11):
   term = k*k
   result += term
                   # shorthand for result = result + term
                                        385
print(result)
```



### **Product Notation**

- The  $\prod$  operator is used to denote the product of elements from a sequence/list.
- It can be implemented using a **for** loop in Python/Java/Processing.

### Example 5

$$\prod_{k=1}^{10} \left[ k^2 \right] = \underbrace{1^2}_{k=1} \times \underbrace{2^2}_{k=2} \times \underbrace{3^2}_{k=3} \times \underbrace{4^2}_{k=4} \times \dots \times \underbrace{10^2}_{k=10}$$

"Determine the value of expression within the brackets as k = 1, 2, 3, ..., 10 and multiply all the results."

$$= 1 \times 4 \times 9 \times 16 \times 25 \times 36 \times \cdots \times 100 = 13,168,189,440,000$$

```
result = 1  # start result with one - why?
for k in range(1,11):
    term = k*k
    result *= term  # shorthand for result = result * term

print(result)

13168189440000
```



# Review Exercises 1 (Sequences)

Sequences

#### **Question 1:**

Expand the following sums

$$\mathbf{(a)} \quad \sum_{k=4}^{7} k$$

(a) 
$$\sum_{k=4}^{7} k$$
 (b)  $\sum_{k=1}^{5} (k^1 - 1)$  (c)  $\sum_{n=1}^{4} (10^n)$  (d)  $\sum_{k=1}^{5} (k^1 - 1)$ 

(c) 
$$\sum_{n=1}^{4} (10^n)$$

(**d**) 
$$\sum_{k=1}^{5} (k^1 - 1)$$

#### **Question 2:**

Write the following expressions using summation notation

(a) 
$$2+4+6+8+10$$

**(b)** 
$$1+4+7+10$$

(a) 
$$2+4+6+8+10$$
 (b)  $1+4+7+10$  (c)  $\frac{1}{4}+\frac{1}{2}+1+2+4$ 

#### **Question 3:**

Expand the following sums

(a) 
$$\prod_{k=-4}^{4} k$$

**(b)** 
$$\prod_{i=1}^{4} (k^1 - 1)$$

(a) 
$$\prod_{k=-4}^{4} k$$
 (b)  $\prod_{k=1}^{4} (k^1 - 1)$  (c)  $\prod_{k \in S} (-1)^k$  where  $S = \{2, 4, 6, 7\}$ .

#### **Question 4:**

For each of the following sequences, determine a recursive definition.

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## Arithmetic Progression/Sequence

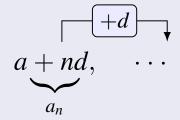
### Definition 6 (Arithmetic Progression/Sequence (AP))

A sequence is called arithmetic if the terms of the sequence differ by a constant.

Suppose the initial term  $(a_0)$  of the sequence is a and the common difference is d, then we have

sequence

$$\underbrace{a, \quad a+d, \quad a+2d, \quad a+3d, \quad \cdots}_{a_0} \underbrace{a+d, \quad a+2d, \quad a+3d, \quad \cdots}_{a_1} \underbrace{a+nd, \quad \cdots}_{a_n}$$



Recursive definition:  $a_n = a_{n-1} + d$  with  $a_0 = a$ .

Closed formula:  $a_n = a + dn$ .

### Example 7

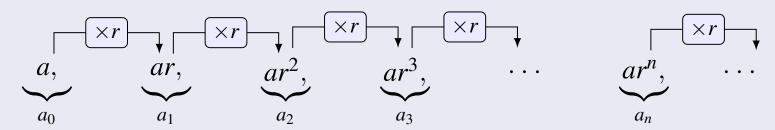
Find recursive definitions and closed formulas for the sequences below. Assume the first term listed is  $a_0$ .

# Geometric Progression/Sequence

### Definition 8 (Geometric Progression/Sequence (GP))

A sequence is called geometric if the ratio between successive terms is constant.

Suppose the initial term  $a_0$  is a and the common ratio is r. Then we have, sequence



Recursive definition:  $a_n = ra_{n-1}$  with  $a_0 = a$ .

Closed formula:  $a_n = ar^n$ .

### Example 9

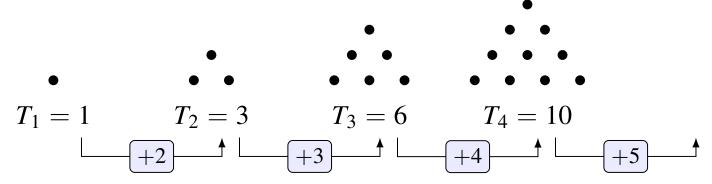
Find the recursive and closed formula for the sequences below. Again, the first term listed is  $a_0$ .

• 3, 6, 12, 24, 48, . . .

•  $27, 9, 3, 1, 1/3, \dots$ 

### Motivation

Look at the sequence  $(T_n)_{n\geq 1}$  which starts  $1,3,6,10,15,\ldots$  These are called the triangular numbers since they represent the number of dots in an equilateral triangle (think of how you arrange 10 bowling pins: a row of 4 plus a row of 3 plus a row of 2 and a row of 1).



- Is this sequence arithmetic? No, since 3 - 1 = 2 and  $6 - 3 = 3 \neq 2$ , so there is no common difference.
- Is the sequence geometric? No. 3/1 = 3 but 6/3 = 2, so there is no common ratio.
- Notice that the differences between terms generate an arithmetic sequence:  $2, 3, 4, 5, 6, \ldots$  This says that the *n*th term of the triangular sequence is the sum of the first *n* terms in the sequence  $1, 2, 3, 4, 5, \ldots$ , i.e, the triangular sequence is a sequence of partial sums.

# Summing Arithmetic Sequences: Reverse and Add

### Example 10

Find the sum:  $2 + 5 + 8 + 11 + 14 + \cdots + 470$ .

**Solution.** If we add the first and last terms, we get 472. The second term and second-to-last term also add up to 472. To keep track of everything, we might express this as follows. Call the sum *S*. Then,

$$S = 2 + 5 + 8 + \cdots + 467 + 470$$
  
+  $S = 470 + 467 + 464 + \cdots + 5 + 2$   
 $2S = 472 + 472 + 472 + \cdots + 472 + 472$ 

Hence, to find 2S then we add 472 to itself a number of times. What number?

We need to decide how many terms are in the sum. Since the terms form an arithmetic sequence, the *n*th term in the sum (counting 2 as the 0th term) can be expressed as 2 + 3n. If 2 + 3n = 470 then n = 156. So *n* ranges from 0 to 156, giving 157 terms in the sum. This is the number of 472's in the sum for 2S. Thus

$$2S = 157 \times 472 = 74104 \implies S = \frac{74104}{2} = 37052$$

Summing Arithmetic Sequences: Reverse and Add

The process covered in the previous slide will work for any sum of arithmetic sequences.

- (STEP 1) Call the sum S.
- (STEP 2) Reverse and add.
- STEP 3 This produces a single number added to itself many times.
- (STEP 4) Determine the number of times.
- STEP 5 Multiply. Divide by 2. Done

### Definition 11 (Arithmetic Series)

The sum of the terms of the arithmetic sequence

$$S_n = [a] + [a+d] + [a+2d] + \cdots + [a+nd]$$

is called an arithmetic series and is given by

$$S_n = (n+1)a + \frac{dn(n+1)}{2}$$

To find the sum of a geometric sequence, we cannot just reverse and add. Instead we multiply and subtract:

### Example 12

What is  $3 + 6 + 12 + 24 + \cdots + 12288$ ?

This terms in the sum are from a geometric progression with initial term,  $a_0 = 3$ , and common ratio, r = 2.

(STEP 1) Call the sum S.

STEP 2 Multiply each term by the common ratio, r = 2

Step 3) Subtract, and solve for S.

$$S = 3 + 6 + 12 + 24 + \dots + 12288$$

$$2S = 6 + 12 + 24 + \dots + 12288 + 24576$$

$$-S = 3 + 0 + 0 + 0 + \dots + 0 -24576$$

$$-S = 3 - 24576 \implies S = 24573$$

# Summing Geometric Sequences: Multiply and Subtract

### Definition 13 (Geometric Series)

The sum of the terms of the geometric sequence

$$S_n = [a] + [ar] + [ar^2] + \cdots + [ar^n]$$

is called a geometric series and is given by

$$S_n = \frac{a(1 - r^{n+1})}{1 - r}$$

• In the special case of -1 < r < 1 the terms in the geometric sequence tends towards zero fast enough that the sum of the series tends to the finite value

$$S_{\infty} = \lim_{n \to \infty} S_n = \lim_{n \to \infty} \frac{a}{1 - r}$$

since  $r^{n+1} \to 0$  as  $n \to \infty$ .

## Review Exercises 2 (Arithmetic and Geometric Progressions)

#### **Question 1:**

Consider the sequence  $5, 9, 13, 17, 21, \ldots$  with  $a_1 = 5$ 

- Give a recursive definition for the sequence.
- **(b)** Give a closed formula for the *n*th term of the sequence.
- Is 2013 a term in the sequence? Explain.
- $\bigcirc$  How many terms does the sequence 5, 9, 13, 17, 21, ..., 533 have?
- ① Determine the sum:  $5 + 9 + 13 + 17 + 21 + \cdots + 533$ . Show your work.
- ① Use what you found above to find  $b_n$ , the  $n^{th}$  term of  $1, 6, 15, 28, 45, \ldots$ , where  $b_0 = 1$

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# Math vs. Programming (Python/Processing/Java/...)

### Computers are finite

In mathematics we can define a sequence, just like

$$a_n = 2^n$$
, for  $n > 0$ 

$$0, 1, 2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, \dots$$

and have no concerns that it has infinite length or that the values become arbitrary large. This is not the case when programming — we (or the language designers) need to deal with both of these issues.

Infinite length sequences in Mathematics  $\rightarrow$  (usually) Finite length sequences in Python

### Programmers need standard tasks/operations

- **Indexing** Each position in a sequence is given a unique position/index, so we can access/change a single element by referring to its index.
- Slicing Given a sequence collection we want to create a copy of part of that sequence.
- Iterating over Looping over all elements (for loops and list comprehensions).
- **Filtering** Given a collection construct a new collection containing only elements that satisfy a condition.

```
set() []
                      {3} [3]
Python Impleme {3} [3, 3]
                      {'Hello', 3} [3, 3, 'Hello']
  S = set()  # canno {'Hello', 'All', 3} [3, 3, 'Hello', 'All']
2 \mid L = [] # here we can use list() or []
  print(S, L)
  S.add(3) # we ADD to a set
  L.append(3) # but we APPEND to END of list
  print(S, L)
  S.add(3) # elements are distinct
  L.append(3)
  print(S, L)
12
  S.add("Hello") # can store mixture of data types
13
  L.append("Hello") # can store mixture of data types
  print(S,L)
15
                                               set() []
16
  S.add("All") # unordered
17
  L.append("All") # ordered
```

print(S,L)

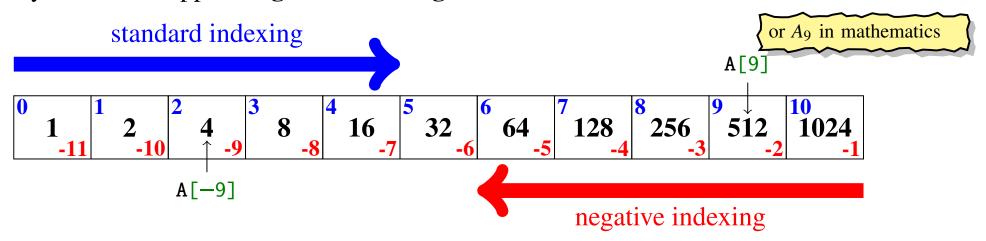


## Indexing

To help illustrate indexing we will define a list containing the powers of 2 up to and including  $2^{10}$ .

$$A = [1,2,4,8,16,32,64,128,256,512,1024]$$

- The collection (a list) is **ordered** so we can talk about which data value (item/element) comes before/after another data value.
- In addition, each data value has a position, called **index**, which counts from the left of the list. Python is zero-based language so index starts at zero.
- Python, also support **negative indexing** which counts backwards from the end of the list.



### Definition 14 (Slicing)

**Slicing** is a compact syntax to construct a sub-sequence collection from a larger collection. A slice consists of

[start:end:step]

#### where

- start the starting index (inclusive). Defaults to 0 (i.e., start of the collection) if omitted.
- end the ending index (exclusive). Defaults to length of collection if omitted.
- step the amount by which the index increases, defaults to 1. If it's negative, you're slicing over the collection in reverse.

### Some common slices:

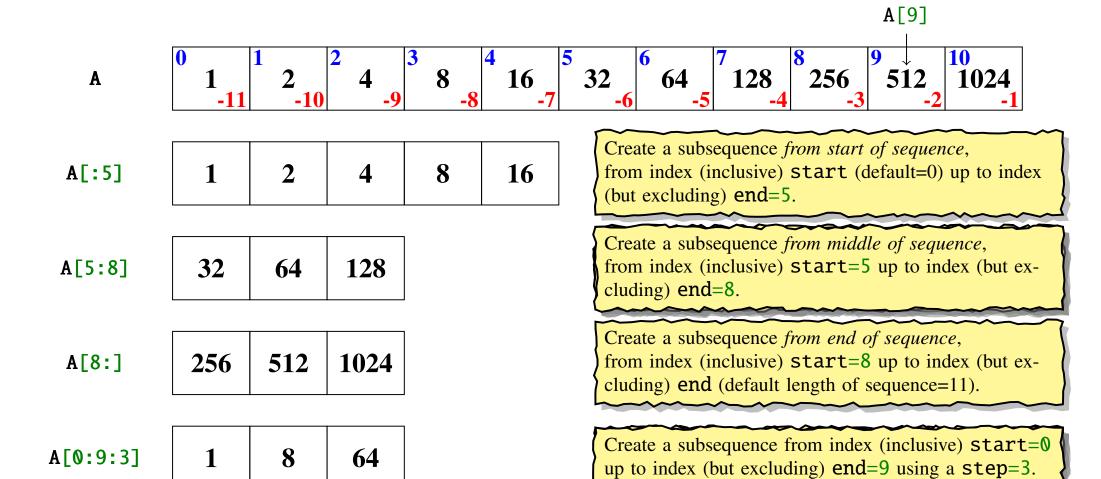
#### Given collection, A, then

• A[:] creates a copy of the entire collection.

- (uses default value for start, end, and step)
- A[::-1] creates a copy of the entire collection in reverse

(step=-1 reverses the collection)

Slicing



- Python's **for** is used to iterate over elements in a collections.
- Function enumerate counts the elements during iteratation.

```
A = [1, 2, 4, 8, 16, 32, 64, 128, 256, 512, 1024]
   # loop over all elements
   for value in A:
       print(value)
                                                     1
                                                                0 1
                                                                1 2
   # count and looping over all elements
                                                                2 4
   for pos, value in enumerate(A):
                                                                3 8
       print(pos, value)
                                                     16
                                                                4 16
10
                                                     32
                                                                5 32
   # loop over all positions - rarely used in pyt.
11
                                                     64
                                                                6 64
   for pos in range(len(A)):
12
                                                     128
                                                                7 128
       print(pos, A[pos])
13
                                                     256
                                                                8 256
                                                     512
                                                                9 512
                                                     1024
                                                                10 1024
                                                                                                         30 of 38
```

Lists

### Definition 15 (Filtering)

Build a collection from another by selecting (**filtering**) elements in the collection that satisfy some criteria.

Task — Given a list of powers of 2, select all values that have remainder 4 when divided by 10:

```
A = [1, 2, 4, 8, 16, 32, 64, 128, 256, 512, 1024]
   # old style filtering
                                 Create empty list. Loop over original.
   B = \Gamma I
                                  If element satisfies criteria, then append it to list.
   for value in A:
       if value % 10==4:
                              # remainder is 4
            B.append(value)
   print(B)
                                 List comprehension
   # or using list comprehension
                                                                    [4, 64, 1024]
   B = [value for value in A if value % 10==4]
                                                                    [4, 64, 1024]
   print(B)
12
```

# List comprehension

### Definition 16 (List comprehension)

**List comprehension** is a compact syntax to construct a new sequence from another collection It consists of

[EXPRESSION for value in COLLECTION if CONDITION]

#### where

- EXPRESSION is any python expression.
- COLLECTION is any python collection (set, list, ...)
- CONDITION is python expression that results in True or False
- As a programmer you don't have to use list comprehensions and instead use the longer traditional style, but you will need to be able to read and understand it since it is the default style in modern Python programmers.
- Replacing [ and ] by { and } will create a set instead of a new list.

# List Comprehension Example 1

Task — Create list of first 10 square numbers from the set of natural numbers  $(\mathbb{N})$ .

```
# traditional approach
                                 Create empty list. Loop over original col-
squares = []
                                 lection, calculate expression (k**2) and
for k in range(10):
                                 append result to list.
    squares.append(k**2)
print(squares)
# using list comprehension
squares = [k**2 \text{ for } k \text{ in range}(10)]
print(squares)
                          [0, 1, 4, 9, 16, 25, 36, 49, 64, 81]
                          [0, 1, 4, 9, 16, 25, 36, 49, 64, 81]
```

Lists

- The COLLECTION is range(10) which generates the list [0,1,2,3,4,5,6,7,8,9].
- The EXPRESSION is k\*\*2 which generates the required pattern.
- There is no CONDITION so all elements in COLLECTION are used.

# List Comprehension Example 2

Task — Create list of even integers up to but not including 10.

```
# traditional approach
evens = []
for k in range(10):
    if k % 2 == 0:
        evens.append(k)
print(evens)

# using list comprehension
evens = [k for k in range(10) if k % 2 == 0]
print(evens)

[0, 2, 4, 6, 8]
[0, 2, 4, 6, 8]
```

- The COLLECTION is range(10) which generates the list [0,1,2,3,4,5,6,7,8,9].
- The EXPRESSION is k which generates the required pattern.
- The CONDITION, k%2==0 selects the even integers only.

# List Comprehension Example 3

Task — Create list of the length of each word in a list of words.

```
names = ['Alice', 'Bob', 'Charlie']

# traditional approach
lengths = []

for name in names:
lengths.append(len(name))
print(lengths)

# using list comprehension
lengths = [len(name) for name in names]
print(lengths)

[5, 3, 7]
[5, 3, 7]
```

- The COLLECTION is names, a list of strings.
- The EXPRESSION is len(name) which computes the length of the string stored in name.
- There is no CONDITION so all elements in COLLECTION are used.

## Aside - Tuples

### Definition 17 (Tuple)

A tuple is ordered, immutable collection.

- A **immutable** collection is unchangeable, meaning that we cannot change, add or remove items after the collection has been created.
- Tuple are denoted by round brackets, (and).
- Unfortunately, round brackets are also used in controlling the order of operations in expressions. So a tuple with just one element requires a comma.

```
fruits = ("apple", "banana", "cherry")
print(fruits)

fruits = ("apple",)
print(fruits)

('apple', 'banana', 'cherry')
('apple',)
```

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# **Strings**

### Definition 18 (string str)

A **str** is a sequence collection consisting of a sequence of characters, like letters, numbers, and symbols.

Since a str is a sequence collection, all of the sequence operations we covered in lists also apply to str

Slight change in notes — we will come back to this section after functions.